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| SUBSTITUTE FORM PTO-1449 (MODIFIED) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY. DOCKET NO. NPP/02C | | SERIAL NO. 10/785,549 | |
| <div style="display: flex; justify-content: space-between;"> <div style="text-align: left;"> INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) </div> <div style="text-align: right;"> (37 CFR 1.900) </div> </div> | | | | APPLICANT Stephen Ronald Warburton-Pitt et al. | | | |
| | | | | FILING DATE February 24, 2004 | | GROUP 1722 | |

| U.S. PATENT DOCUMENTS | | | | | | | | | |
|-----------------------|------------------|---------------|-----------------------------|-------|----------|-------------------------------|--|--|--|
| EXAMINER INITIAL | PATENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | | | |
| JLk | A.A | 3 4 5 8 6 1 9 | 7/1969 Prochaska* | 264 | 281 | | | | |
| | A.B | 3 4 6 3 6 9 1 | 8/1969 Martin* ✓ | 156 | 294 | | | | |
| | A.C | 3 5 4 0 2 2 3 | 11/1970 Ebbe* ✓ | 61 | 12 | | | | |
| | A.D | 4 0 7 0 0 4 4 | 1/1978 Carrow* ✓ | 285 | 155 | | | | |
| | A.E | 4 0 7 6 2 8 2 | 2/1978 Scott, Jr. et al.* ✓ | 285 | 155 | | | | |
| | A.F | 4 2 0 3 4 3 6 | 5/1980 Grimsrud* ✓ | 128 | 218 | | | | |
| | A.G | 4 5 9 6 5 5 7 | 6/1986 Pexa* ✓ | 604 | 86 | | | | |
| | A.H | 4 6 6 1 1 1 0 | 4/1987 Fortier et al.* ✓ | 604 | 256 | | | | |
| | A.I | 4 8 1 5 7 6 9 | 3/1989 Hopperditzel* ✓ | 285 | 41 | | | | |
| | A.J | 4 9 9 7 2 1 3 | 3/1991 Traner et al.* ✓ | 285 | 155 | | | | |
| | A.K | 5 2 5 4 0 9 7 | 10/1993 Schock et al.* ✓ | 604 | 167 | | | | |

| FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS | | | | | | | |
|--|---------------------|-----------------------------|-------|----------|-------------------------|--|--|
| DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY OR PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION (YES/NO) | | |
| JLk A.L | 580160 ✓ | 7/1959 Canada* | | | | | |
| A.M | 6-42687 ✓ | 2/1994 Japan* | | | | | |
| A.N | 40-6101792 ✓ | 4/1994 Japan* | | | | | |
| A.O | 6-101791 ✓ | 4/1994 Japan* | | | | | |
| A.P | | | | | | | |
| A.Q | | | | | | | |

| OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) | |
|---|--|
| A.R | Sani-Tech, Inc., <u>Creating a World Standard in Sanitary Connective Technology</u> , Catalog, Copyright 1991, pgs. 1-10 |
| A.S | Sani-Tech, Inc., <u>Sani-Link-Unitized Fittings</u> , Photographs, undated (Exhibit A) |
| A.T | Sani-Tech, Inc., <u>Photograph</u> , undated (Exhibit B) |

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| EXAMINER / k m L | DATE CONSIDERED 11/29/04 |
|---------------------|-----------------------------|

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Best Available Copy

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|---|--|--|--|--|--|--------------------------|--|
| SUBSTITUTE FORM PTO-1449 (MODIFIED) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY. DOCKET NO. NPP/02C | | SERIAL NO. 10/785,549 | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | APPLICANT Stephen Ronald Warburton-Pitt et al. | | | |
| (37 CFR 1.98(b)) (Use several sheets if necessary) | | | | | | | |
| | | | | FILING DATE February 24, 2004 | | GROUP 1722 | |

| U.S. PATENT DOCUMENTS | | | | | | | | | | | | | |
|-----------------------|-----|---------------|---|---|---|---|---|---|---------------|---------------------|-------|----------|-------------------------------|
| EXAMINER INITIAL | | PATENT NUMBER | | | | | | | ISSUE DATE | PATENTEE | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| <i>ju</i> | B.A | 5 | 2 | 9 | 2 | 3 | 0 | 5 | 3/1994 | Boudewijn et al.* ✓ | 604 | 43 | |
| | B.B | 5 | 4 | 1 | 1 | 3 | 0 | 0 | 5/1995 | Mitsui* ✓ | 285 | 292 | |
| | B.C | 5 | 4 | 2 | 9 | 3 | 9 | 7 | 7/1995 | Kanao* ✓ | 285 | 288 | |
| | B.D | 5 | 4 | 4 | 7 | 3 | 4 | 1 | 9/1995 | Hartel et al.* ✓ | 285 | 238 | |
| | B.E | 5 | 4 | 5 | 3 | 0 | 8 | 8 | 9/1995 | Boudewijn et al.* ✓ | 604 | 43 | |
| | B.F | 5 | 5 | 6 | 8 | 9 | 4 | 9 | 10/1998 | Andre* ✓ | 285 | 284 | |
| | B.G | 5 | 9 | 4 | 5 | 0 | 5 | 2 | 8/1999 | Schryver et al.* | 284 | 167 | |
| | B.H | 6 | 4 | 3 | 2 | 3 | 4 | 5 | 8/2002 | Warburton-Pitt* | 384 | 263 | |
| | B.I | | | | | | | | | | | | |
| | B.J | | | | | | | | | | | | |
| | B.K | | | | | | | | | | | | |

| FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS | | | | | | | |
|--|-----|--------------------|---------------------|-----------------------------|-------|----------|-------------------------|
| | | DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY OR PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION (YES/NO) |
| | B.L | | | | | | |
| | B.M | | | | | | |
| | B.N | | | | | | |
| | B.O | | | | | | |
| | B.P | | | | | | |
| | B.Q | | | | | | |

| OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) | | |
|---|-----|--|
| | B.R | |
| | B.S | |
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